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A) IMPROVE RISK & OPPORTUNITY MANAGEMENT ("upside" or positive risk taking)		Rationale/outcome	Target Date	Lead Officer	Progress
A)1	Continually develop and improve risk & opportunity management tools & techniques and refer to them as appropriate in other corporate guidance, e.g. project management, health & safety, business planning	Proactively encourage opportunity taking (using ROM techniques) and minimisation of downside/negative risks	Ongoing	Jackie Algar	Achieved Continual development
A)2	Introduce prize/award to recognise successful opportunities taken and improvements made using the opportunity management tools. This to be part of annual Excellence Award ceremony and prize/award if sponsorship can be obtained from insurers	Proactively encourage opportunity taking (using ROM techniques)	Ongoing	Jackie Algar	Not achieved Due to change from council based scheme to citywide awards scheme
A)3	Establish the extent to which Dept of Health guidance (May 2007) "Independence, Choice & Risk : a guide to best practice in supported decision making" can be/is being put to use in the delivery of council services	To ensure a balance between empowerment & safeguarding, choice & risk for service users	December 08	Joy Hollister, Jackie Algar	Achieved Personalisation Agenda has superseded the "Independence, Choice & Risk" document. Working Group are using ROM techniques & ROM register will be incorporated into ROM system
B) TO PROVIDE TRAINING FOR MEMBERS AND OFFICERS IN RISK & OPPORTUNITY MANAGEMENT		Rationale/outcome	Target Date	Lead Officer	Progress Report
B)1	Offer 4 sessions a year for managers as part of Leadership & Development Programme – involve guest expert & operational managers as speakers	To improve the capacity of the organisation to practice ROM effectively	From June 08	Jackie Algar	Achieved
B)2	Develop an e-learning tool for risk & opportunity management	To utilise technology and provide alternative learning methods to improve the capacity of	January 09	Jackie Algar, John Carling	Achieved

		the organisation to practice ROM			
B)3	Provide training on Risk & Opportunity Management to Audit Committee Members	To improve the capacity of the council's decision makers to consider whether the council effectively practices ROM	Summer 08	Jackie Algar	<u>Achieved</u>
B) TO PROVIDE TRAINING FOR MEMBERS AND OFFICERS IN RISK & OPPORTUNITY MANAGEMENT … Continued		Rationale/outcome	Target Date	Lead Officer	Progress Report
B)4	Provide training on Risk & Opportunity Management to all Cabinet Members and include as part of wider Performance Management training	To improve assurance on the practice of ROM & embed in Performance Management arrangements	By December 08	Barbara Green & Jackie Algar	<u>Achieved</u> Sessions at Cabinet & Member Development Working Group. In 09/10 further training for all political group meetings have been agreed.
C) ENHANCE LINKS WITH PARTNERS ON RISK & OPPORTUNITY MANAGEMENT		Rationale/outcome	Target Date	Lead Officer	Progress Report
C) 1	Establish regular contact meetings and identify joint approaches to classification, risk reporting, language surrounding risks and training.	To better understand how the approaches of others to avoid duplication of effort & enhance successful outcomes	Ongoing from February 08	Jackie Algar	<u>Achieved</u>
C) 2	For Contractors - Produce and circulate summary guidance on the council's approach to Risk & Opportunity Management and the part they play	To provide information to contractors so that their risk & opportunity management experience informs the overall council approach	Ongoing from October 08	Jackie Algar	<u>Partially Achieved</u> Different approach implemented by Corporate Procurement via contractual obligations (as appropriate)
D) TO IMPROVE RISK REPORTING ARRANGEMENTS		Rationale/outcome	Target Date	Lead Officer	Progress Report

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D) 1	Procure software system to assist the overview of risks to be reported	To better enable risk information to be taken into account in policy making, strategic planning, resource allocation & performance management	April 09	Jackie Algar	Achieved
D) 2	Introduce & oversee the service based risk assessments & record escalated risks in overall council risk register system	To capture the information from the "ground up" of significant issues affecting, or having the potential to affect, delivery of services	July 08 onwards	Jackie Algar & Directors	Partially Achieved Business Planning guidance provided to include ROM but system still embedding. Directorates will oversee completion and collation of risk information
D) 3	Include LAA risks in the overall council performance management approach	To record & identify the risks relating to the achievement of LAA objectives	June 08	Barbara Green	Not achieved Planned for 09/10
D) 4	Establish how project risks are included in the overall risk register system	Informs council risk overview & manage significant risks to projects, including those which are innovative or challenging	November 08	Various Directors	Achieved Major Projects risks have been recorded. Top 10 risks are reported to Project Boards. For 09/10 all risks will form part of overall risk system via new software as will project risks connected to Corporate Risk Register. Other service based project risk registers will be added in 09/10

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